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TRANSMITTAL		Application Number	10/034,826
TRANSMITTAL	•	Filing Date	December 28, 2001
FORM		First Named Inventor	Garrett Holmes, et al.
(to be used for all correspondence after in	dital kling)	Art Unit	2832
'		Examiner Name	Lincoln Donovan
Total Number of Pages in This Submission	30	. Attorney Docket Number	DKT 00054A (BWI-00055)
	ENC	LOSURES (Check all tha	at apply)
Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement	000000	Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Adda Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	After Allowance communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brist, Ropty Brief) Proprietary Information Status Letter Other Enclosure(e) (please identify below): Return Receipt Postcard
Certified Copy of Priority Document(s)  Response to Missing Parts/	additio	ant believes no fee to be du nal fees be due in order to	ue for the attached filing, however, should prevent the abandonment of this as authorization to charge Deposit Account

	Under 37 CFR 1.52 or 1.53		
	SIGNA	TURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual na	Wam, Hoffmann, Mil Philip R. Warn - Reg		
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No. 501612 (Warn, Hoffmann, Miller & LaLone, P.C.) for any such fees due.

A duplicate copy of this document is enclosed for this purpose.

#### CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name

Philip R. Warn - Reg. No. 32775 9 June 28, 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to fite (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and authentising the completed application form to the USPTO. The will vary depending upon the individual case. Any comments on the amount of time you require to complete this form end/or suggestions for reducing this furnities, exercise to their information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1459, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Adjustment date: 11/02/2004 SDIRETA1 07/13/2004 PYARBORO 00000002 023182 10034826 110.00 CR 01 FC:1251

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Response to Missing Perts

June 28, 2004

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#### LAW OFFICES

# WARN, HOFFMANN, MARKE & LALONE, P.C.

# PATENTS, TRADEMARKS AND COPYRIGHTS

Richard W. Hoffmann Douglas P. LaLone John K. McCulloch John A. Miller Gregory L. Ozga Preston H. Smirman Philip R. Warn

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September 22, 2004

By: Fecsimile Only

Telephone: (248) 364-4300 Facsimile: (248) 364-4285

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U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Attention: Refunds Branch

Re: Request for Refund

on Monthly Statement of Deposit Assount No. 023182

for Borg-Warner Automotive Inc.

Statement Dated 7-30-04

and Charge on Statement for U.S. Patter Application

Serial No. 10/034,828 - Filed: December 28, 2001 Attorney Docket No. DKT 00054A (3W) 00055)

Dear Sirs:

To follow is a copy of the July, 2004 Monthly Statement of Deposit Account No. 023182 for Borg-Warner Automotive Inc. This is a Request to Refund the emount of \$110.00 charged on July 13, 2004 for a One Month Extension of Time for the above patient application, since no Extension of Time was requested or necessary.

Enclosed are copies of the Office Action defet/Mench 28, 2004, and our Amendment and Response to Office Action dated June 28, 2004. But use June 28, 2004 was a Saturday, the 3 month deadline for filing a Response was the following Menday, June 28, 2004. Therefore, our Response was timely filed, and our client (Borg-Watner Automotive Inc.) should not have been charged for an Extension of Time.

Thank you very much for your attention to this matter. If you have any questions, please contact me at (248) 364-4300.

Very truly yours.

Mills R. Warn Reg. No. 32775

PRW:jmz Enclosures



DA -9-04

#### MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replanish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

BORG-WARNER AUTOMOTIVE INC ATTN: DEBBIE KOCHAN 3800 AUTOMATION AVENUE, STE. 100

AUBURN HILLS, MI 48326

Under Bearets of Commerce for Intellectual Property and Director of the United States Passis and Tradomerk Office Washington, OC. 2023

> Acros 1 10. 923182 Data 7-30-04

PLEASE SEID REMITTANCES TO: U. S. Patent and Trademark Office P.O. Box 70541 Chicago, IL 60673

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Disposition of Claims				
4) Claim(s) 1-22 le/are pending in the application.		•		
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5) Cleim(s) is/are allowed.		*		
6) Claim(a) <u>1-8</u> is/are rejected.		•		:
7) Cleim(s) is/are objected to.				•
8) Claim(s) are subject to restriction and/or st Application Papers	ecters requirement.			
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Priority under 35 U.S.C. §§ 119 and 120		· · · · · · · · · · · · · · · · · · ·		
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3) Information Disclosure Statement(s) (PTO-1448) Paper Note) 4.	A) Notice of States	n ratant Application (P1	Q-152)	
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Troy, MI 48007-5060

PAGE 05/28 002



## UNITED STATES PATENT AND

LAILED: 05/25/2004

PILING DATE APPLICATION NO. 10/034,826 12/25/2001 03/26/2004 Patent Docket Administrator Borg Warner Inc. PARK WINE 3001 West Big Beaver Rd. - Suite 200 P.O. Box 5060

Please find below and/or attached an Office communication concerning this application or proceeding.

Page 2

04/22/04 THU 08:52 FAX 1 703 305 7723

PTO AU 2838

Application/Control Number: 10/084

Art Unit: 2832

#### DETAILED ACTION

#### Election/Reservations

Applicant's election with traverse of group L claims 1-8 in Paper No. 6 is acknowledged. The traversal is on the grounds that the multiple areas of search for all of the claimed inventions would have been necessities by the claimed subject matter. This is not found persuasive because each distribut invention is directed towards structure and circuitry requiring differing considerations and search areas.

The requirement is still dearned proper and is therefore made FINAL. Claim Rejections 35 USC § 103

The following is a quotation of 35 U.S.C. 193(a) which forms the basis for all obviousness rejections set forth in this Office aution:

(a) A patent may not be obtained though the Inspeller is not identically inclosed or described as set forth in scotion 102 of this title, if the delicences patents. The exploit trailer sought to be patented and the prior art are such that the subject matter as a vector would have been obvious at the time the invention was made to a paraon having ordinant sale in the art to which, said subject matter partains. Patentability shall not be negatived by the manager, which the invention was made.

Claims 1-3 and 6-7 are rejected under \$5 U.S.C. 103(a) as being unpatentable over Perach [US 4,538,645] in view of Hamilton et al. [US 5,707,039].

Regarding claim 1, Perach discloses a control valve assembly comprising:

- a housing [40] defining an internal chamber therein:
- an electromagnetic coil [22] wound on a bebbin [38] chexially mounted within the housing: .
- an axially movable armsture [72], having first and second ends, mounted in the internal chamber:
- an actuation member [74] extending from the armeture:

Application/Control Number: 10/034,828
Art Unit: 2832

Page 3

- a pole piece [48] operably associated with the armetule;
- a valve manifold [12] including control purpages [16, 16];
- first and second valve seats figure 3;
- a valve [66] positioned for selectively smaling on the first or second valve seats;
- a spring [76] for blasing the armature; and
- control means (column 5, line 57-column 6, line 14).

Perach discloses the instant claimed invention except for a flux tube partially surrounding the armature.

Hamiliton et al. disclose a hydraulic sciencid having an almeture [41] interacting with a flux tube [33].

It would have been obvious to a person thinking ordinary will in the art at the time invention was made to use the flux tube design with the pole piece of Perach, as suggested by Hamilton et al., for the purpose of increasing activation force.

Regarding claims 2-3 and 6-7. The specific control functions, bias states and valve positioning would have been an obvious design consideration dependent upon the specific application of the hydraulic valve.

Claims 4-5 and 8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Perach, as modified, as applied to claim 1 shows, and further in view of Barkhimer et al. [US 5,752,689].

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PTO AU 2836

Application/Control Number: 19/034,826
Art Unit: 2832

Page 4

Perach, as modified, disclose the install discrete invention except for the valve being a ball valve.

Barkhimer et al. disclose a colencid valve assembly having a ball type valve.

It would have been obvious to a person having ordinary skill in the art at the time invention was made to use a ball type valve design of Barkhimer et al. for the valve of Person, as modified, for the purpose of maintaining a superior seal.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Donovan Lincoln whose telephone number is 703 308-3111. The examiner can normally be reached on \$1.5 8:30-6:00.

Any inquiry of a general nature or releasing to the status of this application or proceeding should be directed to the reception at the status of this application or proceeding should be directed to the reception at the status of this application or proceeding should be directed to the reception at the status of this application or proceeding should be directed to the reception at the status of this application or proceeding should be directed to the reception at the status of this application or proceeding should be directed to the reception at this application or proceeding should be directed to the reception at the status of this application or proceeding should be directed to the reception at the status of this application or proceeding should be directed to the reception at the status of this application or proceeding should be directed to the reception at the status of this application or proceeding should be directed to the reception at the status of this application at the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the status of the

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22.	40	4,564,046	1/1988	L	1	28
23.	1	4,532,951	8/1985	Farmanich		8
24.		4,494,727	1/1985			
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3.		DE 19,622,101	12/1967		
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FORM HDP-1449 (Based on Form PTE 10)

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19.		5,051,631	9/1991	
20.		4,907,884	3/1990	
21.	1	4,662,605	8/1987	

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PATENT

#### IN THE UNITED STA

Application No.: 10/034,826

Filing Date:

December 29, 2001

Applicant:

Garrett Holmes, et al.

Group Art Unit:

2832

Examiner:

Lincoln Donovan

Title:

VARIABLE BLEED SOLENOID

Attorney Docket:

DKT 00054A (BWI-00055)

#### Certificate of the land

# AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to the Examiner's Class Action maked March 26, 2004. The Applicant respectfully requests reconsideration of the Examiner's rejections and/or

objections in view of the remarks set forth tracks. Please arrend the above identified application as follows:

Amendments to the Claims are reflected by the listing of claims which begins on Page 3 of this paper.

Remarks begin on Page 9 of this paper

#### LISTING OF THE CL

The listing of claims were less all present as a listing of claims in the application.

1. (currently amended): A sole bleed solenced which has low leak properties comprising:

a housing defining an internal chamber therein;

an electromagnetic coil wound on a bobbin wherein said bobbin is coaxially mounted within the housing;

an axially movable armature mounted in the internal chamber, said armature having a first end and a second end;

an actuation member extending from an and of said armature;

a pole piece and flux tube operably associated with edid armeture for moving said armature in a first direction upon energizing said coil;

a valve manifold including a passage for a hydraulic supply pressure and a chamber leading to a hydraulic control side pressure and for directing said control side to an exhaust;

a first valve seat and a second valve seat

a valve positioned for selectively sealing on said first valve seat or said second valve seat, wherein said actuation member is a selectively contact said valve;

a spring for biasing said annature; and

a control circuit for supplying power to said armature for allowing control of said supply pressure in a supply side for sealing the valve in a low leak position;

wherein said manifold further comprises a supply side that and an exhaust side seat with said valve moving between said supply side seat and said exhaust side seat for selectively and variably positioning therebases.

wherein the valve is a ball positioned between said extraust side seat and said supply side seat.

2. (original): The solenoid of claim 1 wherein:

said spring biases said valve in a first direction and overcomes supply pressure acting on the valve, said armature upon being energized overcoming said spring and selectively opening said valve for allowing supply side pressure to bleed to the control side pressure port.

- 3. (canceled)
- 4. (canceled)
- 5. (currently amended): The solenoid of claim 4 1 wherein the valve seats are axially aligned with said actuation member.
- 6. (original): The solenoid of claim 1 wherein the armature acts to close the valve upon actuation thereof, said valve being normally open to supply side pressure.

- 7. (original): The solenoid value of claim 5 wherein said spring is weaker than said supply side pressure acting on said valve.
  - 8. (canceled)
- 9. (withdrawn): A variable bleed sciencid which has low leak properties comprising:
  - a housing defining an internal chamber therein;
- an electromagnetic coil wound on a babbin, wherein said bobbin is coaxially mounted within the housing;
- an axially movable armature mounted in the internal chamber, said armature having a first end and a second end;
  - an actuation member extending from an and of said armeture:
- a pole piece and flux tube operably associated with said a mature for moving said armature in a first direction upon energizing said coil;
- a valve manifold including an aperture for a hydraulic supply pressure and a chamber leading to a hydraulic control side pressure port:
  - a first valve seat and a second valve seat
  - a valve positioned for selectively sealing of said passages; and
- a spring for biasing said armsture toward closure of said valve to said supply side pressure, said spring being strong anough to avarcome the supply pressure acting against it and said armsture overcoming said spring blasing when said coil is energized.

- 10. (withdrawn): The sciencid of chain is wherein the manifold further comprises a supply side seat and an exhaust side seat and to variable positions therebetween.
- 11. (withdrawn): The scientid of claim 10 wherein the valve is a ball positioned between said supply side coat and said exhaust side seat.
- 12. (withdrawn): The solehold of claim 9 wherein the armature is axially aligned with said actuation member.
- 13. (withdrawn): A variable bleed sciencid which has low leak properties comprising:

a housing defining an internal chamber therein;

an electromagnetic coll wound on a stobble wherein said bobble is coaxially mounted within the housing;

an axially movable armature mounted in the internal chamber, said armature having a first end and a second end;

an actuation member extending from an end of said armsture;

a pole piece and flux tube operably emporished with said armature for moving said armature in a first direction upon energial a said coil;

a valve manifold including an a passage for a hydraulic supply pressure and a chamber leading to a hydraulic control side pressure and for directing said control side to an exhaust;

a first valve seat and a second valve seat:

a valve positioned for selectively sealing on said first valve seat or said second valve seat;

a spring for biasing said armsture; and

a control circuit for supplying power to said armature for allowing control of said supply pressure in a supply side for saiding the valve in a low leak position;

wherein said solenoid may be configurate into either a first proportional or inversely proportional configuration by inverting of the pole piece and flux tube in the housing and replacement of said spring.

14. (withdrawn): The solution of claim 13 wherein in the proportional configuration the spring biases the valve in a first direction for overcoming supply pressure acting on the valve and said armsture upon being energized overcomes the spring and opens the valve for allowing supply side pressure to bleed to the control side pressure port.

15. (withdrawn): The solariold of chaim 13 wherein in the inversely proportional configuration said supply side pressure is normally open to control side pressure and said armature closing said valve upon energizing of said coll.

- 16. (withdrawn): The sciencid of claim is knowing is utilized that is weaker than the force of said supply late places and the valve for allowing the normally open condition.
- 17. (withdrawn): The solenoid of claim 15 wherein a spring is configured for moving the armature toward opening of the valve to control side pressure.
  - 18. (withdrawn): The solehold of claim 13 wherein said valve is a ball valve.
  - 19. (withdrawn): The solenoid of claim 14 wherein said valve is a ball valve.
  - 20. (withdrawn): The solution of claim 15 wherein said valve is a ball valve.
  - 21. (withdrawn): The solution of claim to wherein said valve is a ball valve.
  - 22. (withdrawn): The sciencid of claim 17 wherein said valve is a ball valve.

Claims 1-22 are pending a second second

Claims 1-8 are rejected.

Claims 9-22 have been with new from portal oration.

Claims 3, 4 and 8 have then cancelled, with the projection

Claims 1 and 5 have been amended. Support is the amendments can be found throughout the specification, claims and drawings as originally filed.

#### 35 USC \$103(a) REJECTION

Claims 1-3, 6 and 7 stand rejected under 35 USC §103(a) as being unpatentable over U.S. Patent No. 4,538,645 to Perach in view of U.S. Patent No. 5,707,039 to Hamilton et al.

The Applicants respectfully traverse the 35 USC §103(a) rejection of claims 1-3, 6 and 7. Claim 3 has been canceled, without prejudice, and substantially incorporated into claim 1.

The standard for obviousness is that there must be some suggestion, either in the reference or in the relevant art, of how to modify what is disclosed to arrive at the claimed invention. In addition, "[sjomething in the prior art as a whole must suggest the desirability and, thus, the obviousness, of making" the modification to the art suggested by the Examiner. *Uniroyal, Inc. v. Rudkinkwiey Corp.*, \$37 F.2d 1044, 1051, 5 U.S.P.Q.2d (BNA) 1434, 1438 (Fed. Cir.), cert. deriled, 488 U.S. 825 (1988). Although the Examiner may suggest the teachings of a primary reference could be modified to arrive at the claimed subject matter, the modification is not obvious unless the prior art

also suggests the desirability of such modification. In re Laskovski, 871 F.2d 115, 117, 10 U.S.P.Q.2d (BNA) 1397, 1398 (Fed. Cir. 1969). There must be a teaching in the prior art for the proposed combination or modification to be proper. In re Newell, 891 F.2d 899, 13 U.S.P.Q.2d (BNA) 1248 (Fed. Cir. 1989). If the prior art falls to provide this necessary teaching, suggestion, or incentive supporting the Examiner's suggested modification, the rejection based upon this suggested modification is error and must be reversed. In re Bond, 910 F.2d 831, 15 U.S.P.Q.2d (BNA) 1586 (Fed. Cir. 1990).

The law is also clear that a claim in dependent form shall be construed to incorporate all the limitations of the claim to which it refers. 35 USC §112 ¶ 4.

In the interests of expediting prosecution of the instant application, and without any admission that an amendment is necessary, the Applicant have amended claim 1 to recite, among other things, a variable bleed attention which has low leak properties comprising: (1) a housing defining an internal chamber therein; (2) an electromagnetic coll wound on a bobbin wherein said bobbin is constally mounted within the housing; (3) an axially movable armsture mounted in the internal chamber said armsture having a first end and a second end; (4) an actuation internal chamber said armsture having a armsture; (5) a pole piece and flux tube operably associated with said armsture for moving said armsture in a first direction upon exercizing said coil; (6) a valve manifold including a passage for a hydraulic supply pressure and a chamber leading to a hydraulic control side pressure and for directing said control side to an exhaust; (7) a first valve seat and a second valve seat; (8) a said positioned for selectively sealing on said first valve seat or said second valve seat, wherein said actuation member is operable to selectively contact said valve; (9) a spring for bissing said armsture; and

(10) a control circuit for supplying power to said annature for allowing control of said supply pressure in a supply side for scaling the valve in a low leak position; wherein said manifold further comprises a supply side scat and an exhaust side scat with said valve moving between said supply side scat and said exhaust side scat for selectively and variably positioning therebetween; wherein the valve is a ball positioned between said exhaust side scat and said supply side scat.

Neither Perach nor Hamilton et al., either alone or in combination therewith, discloses such a structure. Specifically, neither reference discloses a ball valve generally, and more specifically, neither reference discloses an actuation member extending form the armature that is operable to selectively contact the ball valve. Both of these references merely disclose popper types valves that are fastened to, or otherwise connected to, the armature. In contradistinction, the instant invention claims a system wherein a portion of the armature, i.e., the actuation member, can selectively contact the valve. Thus, one of ordinary skill in the art would not look to either of Perach and/or Hamilton et al., either alone or in combination therewith, to construct a variable bleed solenoid, as presently claimed.

Therefore, the Applicants submit that neither Perach nor Hamilton et al., either alone or in combination therewith, render claim 1 obvious. Furthermore, claims 2, 6 and 7, which depend from and further define claim 1, are likewise not render obvious by Perach nor Hamilton et al., either alone or in combination therewith.

Accordingly, the Applicant submits that the 35 USC \$103(a) rejection of claims 1-2, 6 and 7 has been overcome.

#### 35 USC \$103(a) REJECTION

Claims 4, 5 and 8 stand rejected under 35 USC §103(s) as being unpatentable over U.S. Patent No. 4,538,645 to Perach, as modified, as applied to claim 1 above, and further in view of U.S. Patent No. 5,752,659 to Barkhimer et al.

The Applicants respectfully traverse the 35 USC §103(a) rejection of claims 4, 5 and 8. Claim 4 has been canceled, without prejudice, and substantially incorporated into claim 1. Claim 8 has also been canceled, without prejudice.

As previously noted Perach does not disclose such a structure as recited in claim

1. Specifically, it does not disclose a ball value generally, and more specifically, it does not disclose an actuation member extending form the armsture that is operable to selectively contact the ball valve. Perach metally discloses a poppet type valve that is fastened to, or otherwise connected to, the structure. In contradistinction, the instant invention claims a system wherein a portion of the armsture, i.e., the actuation member, can selectively contact the valve.

While Birkhimer et al. arguebly disclosine a ball type verve, it does not cure the deficiencies in the teachings of Period. Most importantly, Birkhimer et al. appears to disclose a system wherein there is only one valve east 74 adjacent to the ball valve 76, as opposed to two valve seats as currently claimed. Thus, one of ordinary skill in the art would not look to either of Period and/or Birkhimer et al., either alone or in combination therewith, to construct a variable blead sciencial as presently claimed.

Therefore, the Applicants submit that neither Perach nor Birkhimer et al., either alone or in combination therewith, render claim 1 obvious. Furthermore, claim 5, which

depends from and furthers define claim 1, is illustrated by Perach nor Birkhimer et al., either alone or in craft allocations.

Accordingly, the Applicant submits the second of claim 5 has been overcome.

## CONCLUTION

It is respectfully submitted that in view of the above transforments and remarks the claims, as amended, are patentably distinguishable because the cited patents, whether taken alone or in combination, do not teach, suggest or render obvious, the present invention. Therefore, applicant submits that the pending claims are properly allowable, which allowance is respectfully requested.

The Examiner is invited to telephone the applicant's undersigned attorney at (248) 364-4300 if any unresolved matters remain.

Any needed extension of time is the requested with the filling of this document.

The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 501612. A duplicate copy of this letter is enclosed herewith for this purpose.

Restaution schools

WARN, HOFFMANN, MILLER & LALONE, P.C. Attorneys for Applicants)

Dated: June 28,2034

P.O. Box 70098 Rochester Hills, MI 48307 (248) 364-4300

PRW:PHS:cah

By:

Philip R. Wern Reg. No. 82775 Preston H. Smirman Reg. No. 35365

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